

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Bardsley et al.

Serial No.: To Be Assigned

Filed: Concurrently Herewith

For: DOMAIN CONTROLLING SYSTEMS, METHODS AND COMPUTER
PROGRAM PRODUCTS FOR ADMINISTRATION OF COMPUTER SECURITY
THREAT COUNTERMEASURES TO A DOMAIN OF TARGET COMPUTER
SYSTEMS

Date: 03/01/04

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. The Commissioner is hereby authorized to charge any additional fee, which may be required, or credit any refund, to our Deposit Account No. 09-0457.

Respectfully submitted,



John R. Pivnichny
Registration No. 43,001

Substitute form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet 1 of 2		Application Number		To Be Assigned	
		Filing Date		Concurrently Herewith	
		First Named Inventor		Jeffrey S. Bardsley	
		Group Art Unit			
		Examiner Name			
		Attorney Docket Number		9407-16	

U.S. PATENTS AND PATENT PUBLICATIONS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	1.	2003/0009699	A1	Gupta et al.	01/09/2003	
	2.	2003/0004689	A1	Gupta et al.	01/02/2003	
	3.	2003/0004688	A1	Gupta et al.	01/02/2003	
	4.	2002/0178383	A1	Hrabik et al.	11/28/2002	
	5.	2002/0166063	A1	Lachman, III et al.	11/07/2002	
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	7.	6,408,391	B1	Huff et al.	06/18/2002	
	8.	2002/0026591	A1	Hartley et al.	02/28/2002	
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Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	11.	CA	2,316,005	A1	Securelogix Corporation	02/14/2002		
	12.	WO	00/70456	A1	Securelogix Corporation et al.	11/23/2000		
	13.	WO	98/06020	A2	Dieter Bartmann	02/12/1998		

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	14.	<i>About the VulnXML Project, The Open Web Application Security Project, http://www.owasp.org/vulnxml/, 2001-2002, 2 pp.</i>	
	15.	<i>Carnegie Mellon Software Engineering Institute, CERT®/CC: Computer Security Incident Response Team FAQ, http://www.cert.org/csirts/csirt_faq.html, 2002-2003, 9 pp.</i>	
	16.	<i>Citadel Hercules Automated Vulnerability Remediation Product Brochure, Citadel Security Software Inc., 2003</i>	
	17.	<i>CVE Common Vulnerabilities and Exposure (CVE) - MITRE Develops Valuable Resource for Sharing Vulnerability Information, http://www.mitre.org/news/digest/archives/2000/vulnerability_info.html, 2000, 3 pp.</i>	
	18.	<i>Enabling Enterprise Security with CVE, http://www.mitre.org/news/digest/archives/2001/enterprise_security.html, 2001, 5 pp.</i>	
	19.	<i>Ghosh et al., Inoculating Software for Survivability, Communications of the ACM, Vol. 42, No. 7, July 1999, pp. 38-44</i>	
	20.	<i>GSA Federal Technology Service, Federal Computer Incident Response Center PADC (Patch Authentication and Dissemination Capability, Smarter Solutions, Publication No. E-COTE0-03-0002</i>	
	21.	<i>I2Biscom, Network Security, http://www.b2biscom.it/cms/Generic.jsp?IdPage=458, 2003, 1 p.</i>	
	22.	<i>IBM, Internet Data Center Value-Add Feature: Certifiable High-Speed RAM Web Servers, Research Disclosure, #454147, February 2002, p. 313</i>	
	23.	<i>IBM, Remote-Controlled Write-Lock in Hard Disk (HD) Controllers, Research Disclosure, #446114, June 2001, p. 1001</i>	
	24.	<i>ICAT Metabase Documentation, http://icat.nist.gov/icat_documentation.htm, 5pp.</i>	
	25.	<i>ICAT Metabase: A CVE Based Vulnerability Database, http://icat.nist.gov/icat.cfm, 2000-2002, 2 pp.</i>	
	26.	<i>Martin, Managing Vulnerabilities in Your Networked Systems Using an Industry Standards Effort, NDIA Federal Database Colloquium & Exposition, October 25, 2001, 2 pp., Abstract at http://www.mitre.org/work/tech_papers/tech_papers_01/martin_1vulner/index.html</i>	
	27.	<i>MITRE, Press Release, MITRE Announces New Standard for Computer Vulnerability Assessment, http://www.mitre.org/news/releases/02/oval12_10_02.html, 2002, 2 pp</i>	
	28.	<i>Myerson, Identifying Enterprise Network Vulnerabilities, International Journal of Network Management, Vol. 12, 2002, pp. 135-144</i>	
	29.	<i>OASIS Members Collaborate to Address Security Vulnerabilities for Web Services and Web Applications, http://www.oasis-open.org/news/oasis_news_04_14_03a.php, April 14, 2003</i>	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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				Examiner Name	
				Attorney Docket Number	9407-16

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	30.	OWASP, <i>Introducing the OWASP Top Ten - Top Vulnerabilities in Web Applications</i> , The Open Web Application Security Project, http://www.owasp.org/ , 2001-2002, 2 pp.			
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	32.	Seltzer, <i>All the Threat Information You Want, and Then Some</i> , eWeek, October 24, 2003, eweb.com/article2/0.4149,1362688,00.asp , 2 pp.			
	33.	Symantec Corporation, <i>Symantec DeepSight Alert Service</i> , enterprisecurity.Symantec.com/products/products.cfm?ProductID=160 , 1994-2004, 2 pp.			
	34.	Symantec Corporation, <i>Symantec™ DeepSight™ Threat Management System</i> , enterprisecurity.Symantec.com/content/displaypdf.cfm?pdfid=301			
	35.	Tasker et al., <i>CVE Continues to Grow</i> , The Edge, February 2001, http://www.mitre.org/news/the_edge/february_01/tasker.html , 2 pp.			
	36.	<i>VulnXML Proof of Concept Vision Document, Version 1</i> , The Open Web Application Security Project, 2002, 7 pp.			

Examiner Signature		Date Considered
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